

Audit Plan 2024/25	
<u>Audit Area</u>	<u>Risk Assessment Score</u>
<b>Resources</b>	
Treasury Management - Loans and Investments	Audit Wales
Budgetary Control - Setting	Audit Wales
General Ledger	Audit Wales
Education Improvement Grant (RCSIG)	Standard
Pupil Development Grant	Standard
Charity Accounts - Bedwellty House and Park	Standard
Charity Accounts - Ebbw Vale Recreation Ground	Standard
<b>Regeneration &amp; Community Services</b>	
Enable Grant	Standard
Homelessness Grant	Standard
Homelessness Grants - No One Left Out	Standard
Rent Smart Wales	Standard
<b>Education</b>	
CRSA Schools (Annual Audit)	Standard
School Systems	Standard
Youth Support Grant (Annual Audit)	Standard
<b>Social Services</b>	
Supporting People Grant (RDC Post)	Standard
Supporting People Grant New Combined	Standard
<b>General</b>	
Performance Management	Standard
Audit Plan	Standard
Performance Reviews	Standard
AGS	Standard
Ad Hoc / Contingency / Follow Ups	Standard
Office Duties (incl. Cont. Stat & Auth Sigs)	Standard
Meetings	Standard
Governance and Audit Committee	Standard
Special Projects / Investigations	Standard
WCAG	Standard
Insurance & Risk	Standard
Anti Bribery, Fraud & Corruption Policy	Standard
Anti Money Laundering Policy	Standard
Contract Audit	Standard
Contract Procedure Rules	Standard
Departmental Flexi System Use	Standard
Departmental Internet / Email Use	Standard
Departmental Use of Social Media	Standard
Financial Procedure Rules	Standard
HR Policies	Standard
ICT Policies	Standard
Payment Methods	Standard
Quality Audit - DBS	Standard
Stocktaking	Standard
<b>Resources</b>	
Risk Management	Ongoing
<b>Commercial</b>	
Absence Management	Ongoing
Bravo CMS	Ongoing
Code of Conduct for Officers - Follow Up	Ongoing
Purchase Card Scheme - Follow Up	Ongoing
<b>Legal &amp; Corporate Compliance</b>	
Elections	Ongoing
<b>Regeneration &amp; Community Services</b>	
Asbestos Management Plans	Ongoing
Highways Development and Control	Ongoing
Planning Policy (incl. LDP)	Ongoing
Refuse and Recycling - Commercial	Ongoing
<b>Education</b>	
River Centre 3-16 Learning Community (F/Up in process &SI)	Ongoing
<b>Social Services</b>	
Community Meals	Ongoing
Locality Team (4)	Ongoing
Safeguarding Provider Services Adult	Ongoing

Key
Standard Items
High Risk Areas
Medium Risk Areas
Low Risk Areas
Ongoing Audits

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<b>Resources</b>	
Petty Cash	Follow Up
Asset Register	98
Appointeeship / Deputyship Accounts	91
Council Tax CRSA	91
Debtors System CRSA	91
Leisure Trust	91
Property Charges	91
Social Services Debtors	91
Budgetary Control - Monitoring	84
Creditors - Central CRSA	84
Insurance	84
Medium Term Financial Plan	84
VAT	84
Capital Programme	77
<b>Commercial</b>	
Discretionary Housing Payments	98
Payroll	98
Car Loans	91
Flexi	91
Job Evaluation	91
Performance Appraisal Reviews Staff	84
Service Transformation	84
Community Hubs	77
Contact Centre	77
Corporate Communications Strategy	77
Ctax Fraud	77
ICT Collaboration	77
<b>Legal &amp; Corporate Compliance</b>	
Information Management	Follow Up
Monitoring Officer Role	84
Registrars System	84
Code of Conduct for Members	77
GDPR	77
<b>Governance &amp; Partnerships</b>	
Business Planning and Improvement	Follow Up
Re-settlement	84
Strategic Policy - Welsh Language	84
CCTV	77
Future Generations Act - Individual Duty	77
Strategic Policy - Equalities	77
<b>Regeneration &amp; Community Services</b>	
Building Control	Follow Up
Dangerous Structures	Follow Up
Temporary Accommodation	Follow Up
CATs	98
Green Infrastructure	91
Commercial Lettings	84
Cwmcrahen	84
Free School Meals	84
School Catering System	84
Support to Businesses - Shared Prosperity Fund	84
Waste Regulation	84
Cemeteries	77
Civil Parking Enforcement	77
Corporate Landlord - Property Maintenance	77
Health and Safety - Private Sector	77
Housing Strategy	77
Industrial Portfolio	77
Property Rentals	77
Trading Standards - Food Standards	77
Waste Enforcement / Front Line Service	77
<b>Education</b>	
Out of County Placements for Education	Follow Up
Education Welfare	91
Elected Home Education	91
Pen Y Cwm	84

<u>Audit Area</u>	<u>Risk Assessment Score</u>
<b>Social Services</b>	
Childrens' External Placements/Fostering (Residential)	Follow Up
Commissioning	91
Information Advice and Assistance (Adults)	91
Fostering Internal	84
Social Services Safeguarding	84
Supporting Change	84
Childcare Early Years & Play	77
Direct Payments (Administration)	77
Domiciliary Care - External	77
External Residential / Nursing Placements	77
Information Advice and Assistance (Children)	77
<b>Resources</b>	
Debtors Reconciliation	70
NNDR CRSA	70
School Funding / LMS	70
CIS Scheme	63
Creditors - Reconciliation	63
Direct Payments - Payments and Audit	63
Leasing	63
Reserves and Balances Protocol	63
Treasury Management - Bank Reconciliation	63
Write Off System	56
<b>Commercial</b>	
Education Recruitment Safeguarding (DBS)	70
Health and Safety	70
Housing Benefits / CTR Incl. Overpayments	70
iTrent Projects	70
Purchasing	70
Business Support (including General Offices)	63
Corporate Website	63
User Access	63
Workforce Development	63
Back Up and Retention	56
Clothing Grants	56
Exit Interviews / Terminations	56
Free School Meals	56
HR Policies	56
Performance Management	56
Settlement Agreements	56
Staff Expenses	56
Universal Credit	56
<b>Legal &amp; Corporate Compliance</b>	
Electoral Registration	70
Prosecution Process	63
Corporate Complaints	56
Whistle Blowing Policy	56
<b>Governance &amp; Partnerships</b>	
Corporate Engagement, Strategy and Approach	70
Community Safety	63
Decarbonisation	63
Democratic Function of the Council	63
National Performance Indicators	63
Committee Forward Work Programmes	56
Future Generations Act - Collective Duties (PSB)	56
Geographical Information Systems (GIS)	56
ICT System and Data Systems	56
Local Government and Elections Act	56
Local Performance Indicators	56

<u>Audit Area</u>	<u>Risk Assessment Score</u>
<b>Regeneration &amp; Community Services</b>	
Estates Licensing	70
Food Safety	70
Home to School / College Transport	70
Housing Maintenance (incl. Empty Homes, Enforcement Action, Loans)	70
Inspire to Work	70
Planning Applications	70
Planning Enforcement	70
Refuse and Recycling - Domestic	70
Trading Standards - Inspection, Enforcement and Advice	70
Traffic Management & Road Safety	70
Waste Disposal	70
Winter Maintenance	70
Aspire	63
Corporate Landlord - Carbon Reduction Commitment	63
Corporate Landlord - Energy Centre	63
Housing Allocations (Housing Access)	63
Road and Street Works Act	63
Street Lighting	63
Town Centre Regeneration	63
Asset Management	56
Breakfast Clubs	56
Licensing	56
Street Cleansing	56
<b>Education</b>	
Additional Learning Need	70
Community Focused Schools	70
Gypsy Traveller Services	70
Managing the School Estate - Forward Planning	70
Duke of Edinburgh	63
Education School Estate - Properties (including Health and Safety)	63
Facilities Management	63
Emergency Planning	56
Inspire (Work / Achieve)	56
<b>Social Services</b>	
0-25 Disability Team children	63
14+ Team (incl. Leaving Care)	63
Community Care (East)	63
Community Care (West)	63
Domiciliary Care - Internal	63
Families First Programme	63
Adult Mental Health	56
Occupational Therapy	56
Special Guardianship Team	56
Substance Misuse	56
<b>Resources</b>	
Grants to Voluntary Organisations	49
Third Party Contributions	49
<b>Commercial</b>	
Appeals Process	49
Communications	49
Corporate Marketing	49
Corporate Printing	49
Internal Communications	49
IR35	49
Recruitment and Retention	49
Redundancies	49
Social Media Accounts	49
Stand By Payments	49
Tusker Car Benefits Scheme	49
<b>Legal &amp; Corporate Compliance</b>	
Local Land Charges	49
Resilience	49
RIPA	49

<u>Audit Area</u>	<u>Risk Assessment Score</u>
<b>Governance &amp; Partnerships</b>	
External Regulation and Monitoring	49
Interaction with Town and Community Councils	49
Member Support and Guidance	49
Members Allowances and Expenses	49
Research and Intelligence	49
Scrutiny Function	49
Social Services and Well-being Act Performance Framework	49
Socio Economic Duty and Decision Making	49
<b>Regeneration &amp; Community Services</b>	
20 Church Street	49
Building Cleaning	49
Control of Pollution	49
Corporate Landlord - Property DLO	49
Destination Management	49
Disabled Facilities Grants	49
Disposal of Surplus Land	49
Grounds Maintenance	49
Heritage	49
Highway Development Control - Highways Infrastructure	49
Homelessness (Housing Options)	49
Markets	49
Part One Claims	49
Pest Control	49
Professional Services - Income / Design Services	49
Transport and Plant Hire (incl. Transport Stores)	49
<b>Education</b>	
Healthy Schools	49
NEETS Reduction	49
Psychology Service	49
School Admissions	49
Youth Clubs	49
<b>Social Services</b>	
Augusta	49
Bert Denning	49
Community Options - Lake View	49
Cwrt Mytton	49
Family Time Team/Contact Team	49
Flying Start	49
Outreach Service	49
Supported Living (Ty Celyn, Ty Lelog, Ty Pabi, Ty Rhosyn)	49