

AUDIT PLAN OUTTURN 2019/20

Appendix A

AUDIT PLAN 2019/20 - RESOURCES		
AUDIT AREA	AUDIT OPINION	COMMENTS
Financial Services		
Education Improvement Grant	Full Assurance	Completed
Pupil Development Grant	Full Assurance	Completed
Bank Reconciliation	Reasonable Assurance	Draft
Creditors Reconciliation	Reasonable Assurance	Completed
Budgetary Control Monitoring (CRSA)	Full Assurance	Completed
Creditors Central (CRSA)	Full Assurance	Completed
Reserves and Balances Protocol	Full Assurance	Completed
Bedwelty House and Park Charity Accounts	Not Applicable	Completed
Ebbw Vale Recreation Ground Charity Accounts	Not Applicable	Completed
Revenue Services		
Council Tax (CRSA)	Full Assurance	Completed
Debtors (Social Services) (CRSA)	Full Assurance	(Provisional)
NDR (CRSA)	Full Assurance	Completed
Debtors System (CRSA)	Full Assurance	Completed
Debtors Reconciliation	Full Assurance	Completed
Property Charges Follow Up	Not Applicable	Completed
Audit, Insurance and Risk Management		
Insurance	Reasonable Assurance	Draft
AUDIT PLAN 2019/20 - COMMERCIAL		
AUDIT AREA	AUDIT OPINION	COMMENTS
Customer Services		
Housing Benefits	Full Assurance	Completed
Cashiers	Full Assurance	Completed
Digital and ICT		
Back Up and Retention Follow Up	Not Applicable	Completed
User Access	Not Applicable	Deferred
Procurement		
Purchasing	Reasonable Assurance	Completed
Bravo CMS Follow Up	Not Applicable	Ongoing
Workforce Management		
Trent Project	Not Applicable	Deferred
Payroll System (CRSA)	Reasonable Assurance	Completed
Settlement Agreements	Not Applicable	Deferred
Health and Safety	Limited Assurance	Completed
Communications		
Social Media Accounts	Limited Assurance	Completed
AUDIT PLAN 2019/20 - LEGAL AND CORPORATE SERVICES		
AUDIT AREA	AUDIT OPINION	COMMENTS
Commercial, Property & Information Governance		
GDPR	Reasonable Assurance	Completed
Corporate Services and Registration		
Local Land Charges	Not Applicable	Ongoing
AUDIT PLAN 2019/20 - GOVERNANCE AND PARTNERSHIPS		
AUDIT AREA	AUDIT OPINION	COMMENTS
Corporate Performance, IT, Scrutiny and Democratic Services		
Business Planning Improvement and Data	Limited Assurance	Draft (Provisional)
Partnership and Policy		
Strategic Policy inc. Welsh Language & Equalities	Reasonable Assurance	Draft (Provisional)
AUDIT PLAN 2019/20 - REGENERATION AND COMMUNITY SERVICES		
AUDIT AREA	AUDIT OPINION	COMMENTS
Frontline Integrated services		
Street Lighting	Not Applicable	Ongoing
Waste Disposal	Not Applicable	Ongoing
Building Cleaning	Full Assurance	Completed
Building Cleaning Follow Up	Not Applicable	Completed
Property		
Corporate Landlord CRC	Full Assurance	Completed
Public Protection		
Enable Grant	Reasonable Assurance	Completed
Homelessness Grant	Full Assurance	Completed
Cold Weather Grant	Full Assurance	Completed
Rent Smart Wales	Limited Assurance	Completed
Housing Allocations	Reasonable Assurance	Completed
Cwmcrachen Follow Up	Not Applicable	Completed
Development Control		
Planning Policy (inc. LDP)	Reasonable Assurance	Completed
Building Control Follow Up	Not Applicable	Completed
Estates and Asset Management		
Disposal of Surplus Land	Reasonable Assurance	Completed
Regeneration and Thriving Communities		
General Offices	Limited Assurance	Draft
Legacy Fund Grant	Limited Assurance	Completed
AUDIT PLAN 2019/20 - EDUCATION		
AUDIT AREA	AUDIT OPINION	COMMENTS
Education Transformation		
Control Risk Self Assessment	Reasonable Assurance	Completed
School System (Sims Functionality)	Reasonable Assurance	Completed
School System (Inventory)	Reasonable Assurance	Completed
School System - (Purchasing)	Reasonable Assurance	Completed
School System - (Equalities and Bullying) Follow Up	Not Applicable	Completed
21st Century School	Reasonable Assurance	Completed
River Centre 3-16 Learning Community	Reasonable Assurance	Completed
Inclusion Services		
Elected Home Education	Reasonable Assurance	Completed
Youth Services		
Youth Support Grant	Full Assurance	Completed
AUDIT PLAN 2019/20 - SOCIAL SERVICES		
AUDIT AREA	AUDIT OPINION	COMMENTS
Development and Commissioning		
Supporting People Outcomes Grant	Reasonable Assurance	Completed
Supporting People Grant	Full Assurance	Completed
Supporting People RDC Post	Full Assurance	Completed
Commissioning	Reasonable Assurance	Draft (Provisional)
Long Term Care		
Community Care (East & West)	Not Applicable	Ongoing
Provider Services		
Cwrt Mylton (18/19)	Reasonable Assurance	Completed
Safeguarding & 14+		
14+ (inc. Leaving Care)	Full Assurance	Completed
AUDIT PLAN 2019/20 - CORPORATE		
AUDIT AREA	AUDIT OPINION	COMMENTS
Contracts > £75k (18/19)	Reasonable Assurance	Completed
Contracts Variations (18/19)	Reasonable Assurance	Completed
Departmental Flexi System Use	Limited Assurance	Draft (Provisional)
Special Investigation - Youth Service Bus	Reasonable Assurance	Completed
Special Investigation - Business Resource Centre	No Assurance	Completed

Grading	No of Audits	Percentage
Full Assurance	20	38%
Reasonable Assurance	24	46%
Limited Assurance	7	13%
No Assurance	1	2%
Not Applicable	-	-

Follow ups
Backup and Retention
Equalities and Bullying (Schools)
Property Charges
Cwmcrachen Electricity Supply
Bravo CMS
Building Control
Building Cleaning